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22278 U.S. PTO  
10/684204101003  


|   |                              |                     |                              |
|---|------------------------------|---------------------|------------------------------|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small> |                              | Attorney Docket No. | 11885-00002-US               |
|   |                              | First Inventor      | Markus A. Schufheutle et al. |
| Title   | AQUEOUS COATING COMPOSITIONS |                     |                              |
|   | Express Mail Label No.       | ER 375181812 US     |                              |

|  |  |   |  |
|--|--|---|--|
| <b>APPLICATION ELEMENTS</b><br><small>See MPEP chapter 600 concerning utility patent application contents.</small> |  | <b>ADDRESS TO:</b><br><small>MS Patent Application<br/>Commissioner for Patents<br/>P.O. Box 1450<br/>Alexandria, VA 22313-1450</small> |  |
|--|--|---|--|

|   |   |   |   |
|---|---|---|---|
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br><small>(Submit an original, and a duplicate for fee processing)</small>                                | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or<br>Computer Program (Appendix)                                |   |   |
| 2. <input type="checkbox"/> Applicant claims small entity status.   | 8. Nucleotide and/or Amino Acid Sequence Submission<br><small>(if applicable, all necessary)</small>                                  |   |   |
| 3. <input checked="" type="checkbox"/> Specification<br><small>(Preferred arrangement set forth below)</small>  | a. <input type="checkbox"/> Computer Readable Form (CRF)  |   |   |
|   | b. Specification Sequence Listing on:<br>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper |   |   |
|   | c. <input type="checkbox"/> Statements verifying identity of above copies   |   |   |
| 4. <input type="checkbox"/> Drawing(s) (35 U.S.C. 113)  | [Total Pages 16]  | 9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))  |   |
| 5. Oath or Declaration  | [Total Sheets 2]  | 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement<br><small>(when there is an assignee)</small>   | <input type="checkbox"/> Power of Attorney                  |
| a. <input checked="" type="checkbox"/> Newly executed (original or copy)  |   | 11. <input type="checkbox"/> English Translation Document (if applicable)   |   |
| b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br><small>(for continuation/divisional with Box 18 completed)</small>  |   | 12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449   | <input checked="" type="checkbox"/> Copies of IDS Citations |
| i. <input type="checkbox"/> DELETION OF INVENTOR(S)<br><small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small> |   | 13. <input type="checkbox"/> Preliminary Amendment  |   |
| 6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76  |   | 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br><small>(Should be specifically itemized)</small>                                  |   |
|   |   | 15. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s)<br><small>(if foreign priority is claimed)</small>                               |   |
|   |   | 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i).<br><small>Applicant must attach form PTO/SB/35 or its equivalent.</small> |   |
|   |   | 17. <input type="checkbox"/> Other: _____   |   |

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation  Divisional  Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

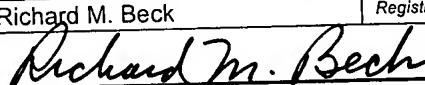
Art Unit: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

|  |           |          |   |
|--|-----------|----------|---|
| <input checked="" type="checkbox"/> Customer Number: | 23416     | OR       | <input type="checkbox"/> Correspondence address below |
| Name   |           |          |   |
| Address  |           |          |   |
| City   | State     | Zip Code |   |
| Country  | Telephone | Fax      |   |

|                   |   |                                   |                  |
|-------------------|---|-----------------------------------|------------------|
| Name (Print/Type) | Richard M. Beck   | Registration No. (Attorney/Agent) | 22,580           |
| Signature         |  |                                   | Date             |
|                   |   |                                   | October 10, 2003 |

292646

15992 U.S.PTO  
101003Use in lieu of PTO/SB/17 (08-03)  
(Form updated to reflect FY 2004 fees effective 10/1/03)

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003, Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 810.00)

| Complete if Known    |                              |
|----------------------|------------------------------|
| Application Number   | Not Yet Assigned             |
| Filing Date          | October 10, 2003             |
| First Named Inventor | Markus A. Schufheutle et al. |
| Examiner Name        | Not Yet Assigned             |
| Art Unit             | N/A                          |
| Attorney Docket No.  | 11885-00002-US               |

## METHOD OF PAYMENT (check all that apply)

 Check  Credit Card  Money Order  Other  None Deposit Account:

Deposit Account Number

03-2775

Deposit Account Name

Connolly Bove Lodge &amp; Hutz LLP

The Director is authorized to: (check all that apply)

 Charge fee(s) indicated below  Credit any overpayments Charge any additional fee(s) during the pendency of this application Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Entity  | Small Entity  | Fee Description        | Fee Paid |
|---------------|---------------|------------------------|----------|
| Fee Code (\$) | Fee Code (\$) |                        |          |
| 1001 770      | 2001 385      | Utility filing fee     | 770.00   |
| 1002 340      | 2002 170      | Design filing fee      |          |
| 1003 530      | 2003 265      | Plant filing fee       |          |
| 1004 770      | 2004 385      | Reissue filing fee     |          |
| 1005 160      | 2005 80       | Provisional filing fee |          |

SUBTOTAL (1) (\$ 770.00)

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims       | 10 | -20** = | Extra Claims | Fee from below | Fee Paid |
|--------------------|----|---------|--------------|----------------|----------|
| Independent Claims | 1  | -3** =  |              |                | 0.00     |
| Multiple Dependent |    |         |              |                |          |

| Large Entity  | Small Entity  | Fee Description  |
|---------------|---------------|--|
| Fee Code (\$) | Fee Code (\$) |  |
| 1202 18       | 2202 9        | Claims in excess of 20                                     |
| 1201 86       | 2201 43       | Independent claims in excess of 3                          |
| 1203 290      | 2203 145      | Multiple dependent claim, if not paid                      |
| 1204 86       | 2204 43       | ** Reissue independent claims over original patent         |
| 1205 18       | 2205 9        | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ 0.00)

\*\*or number previously paid, if greater. For Reissues, see above

## 3. ADDITIONAL FEES

| Large Entity        | Small Entity  | Fee Description  | Fee Paid |
|---------------------|---------------|--|----------|
| Fee Code (\$)       | Fee Code (\$) |  |          |
| 1051 130            | 2051 65       | Surcharge - late filing fee or oath  |          |
| 1052 50             | 2052 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053 130            | 1053 130      | Non-English specification  |          |
| 1812 2,520          | 1812 2,520    | For filing a request for ex parte reexamination                            |          |
| 1804 920*           | 1804 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 1805 1,840*         | 1805 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 1251 110            | 2251 55       | Extension for reply within first month                                     |          |
| 1252 420            | 2252 210      | Extension for reply within second month                                    |          |
| 1253 950            | 2253 475      | Extension for reply within third month                                     |          |
| 1254 1,480          | 2254 740      | Extension for reply within fourth month                                    |          |
| 1255 2,010          | 2255 1,005    | Extension for reply within fifth month                                     |          |
| 1401 330            | 2401 165      | Notice of Appeal   |          |
| 1402 330            | 2402 165      | Filing a brief in support of an appeal                                     |          |
| 1403 290            | 2403 145      | Request for oral hearing   |          |
| 1451 1,510          | 1451 1,510    | Petition to institute a public use proceeding                              |          |
| 1452 110            | 2452 55       | Petition to revive - unavoidable   |          |
| 1453 1,330          | 2453 665      | Petition to revive - unintentional   |          |
| 1501 1,330          | 2501 665      | Utility issue fee (or reissue)   |          |
| 1502 480            | 2502 240      | Design issue fee   |          |
| 1503 640            | 2503 320      | Plant issue fee  |          |
| 1460 130            | 1460 130      | Petitions to the Commissioner  |          |
| 1807 50             | 1807 50       | Processing fee under 37 CFR 1.17(q)  |          |
| 1806 180            | 1806 180      | Submission of Information Disclosure Stmt                                  |          |
| 8021 40             | 8021 40       | Recording each patent assignment per property (times number of properties) | 40.00    |
| 1809 770            | 2809 385      | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 1810 770            | 2810 385      | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 1801 770            | 2801 385      | Request for Continued Examination (RCE)                                    |          |
| 1802 900            | 1802 900      | Request for expedited examination of a design application                  |          |
| Other fee (specify) |               |  |          |

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40.00)

(Complete if applicable)

## SUBMITTED BY

|                   |                        |                                   |        |                  |                |
|-------------------|------------------------|-----------------------------------|--------|------------------|----------------|
| Name (Print/Type) | Richard M. Beck        | Registration No. (Attorney/Agent) | 22,580 | Telephone        | (302) 658-9141 |
| Signature         | <i>Richard M. Beck</i> |                                   | Date   | October 10, 2003 |                |

292641

Application No. (if known):

Attorney Docket No.: 11885-00002-US

## Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. ER 375181812 US in an envelope addressed to:

MS Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

on October 10, 2003  
Date

Amy L. Hamm

Signature

Amy L. Hamm

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Application Data Sheet  
Utility Transmittal Sheet  
Fee Transmittal Sheet  
Specification (12 Pages)  
Declaration/Power of Attorney (2 Pages)  
Assignment and Coversheet (2 Pages)  
Priority Document  
IDS, PTO Form 1449 and 1 Reference  
Check for \$810.00  
Return Postcard